



Privacy Policy

In the servicing of client accounts, SSN may receive non-public personal information about those clients from the following sources:

- applications, account opening documents or other forms
- my transactions with SSN or others
- a consumer reporting agency
- other sources with client consent or with the consent of the client Representative

SSN will not disclose non-public personal information to anyone, except as permitted or required by law. Such permitted disclosure may include the following:

- Affiliates, including affiliated service providers (for example, insurance agencies for processing of insurance applications)
- Third parties (for example, a credit reporting agency) for purposes of identifying a customer's identity in accordance with federal law

If the Representative servicing a client account leaves SSN to join another broker-dealer, the Representative is permitted to retain copies of client information so that they may continue to service the account.

The Representative is still required to keep information confidential and may only share such information with his new firm to utilize in servicing



Privacy Policy

continued

the account. A client may opt-out of this disclosure by notifying the Representative at the time of account opening.

SSN considers privacy, security and service to be just as critical in the online environment as in the rest of our operation. As a result, we have encryption, firewall and password protections in place. SSN restricts access to personal and account information to those of its employees who need to know that information to provide products or services to clients and Representatives. SSN maintains physical, electronic, and procedural safeguards to guard clients' nonpublic personal information.